

1 Probability Distribution of Turbulent Kinetic Energy Dissipation Rate in Ocean:
2 Observations and Approximations

3 **Commentary by Carl Gibson, see journalofcosmology.com vol. 26 for details. CHG.**

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5 **In this excellent paper, the authors are doing almost everything right. Somehow,
6 they have missed the fact that the turbulence problem has been solved.**

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18 Submitted: May 9, 2017

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23 **Key words:** turbulence, dissipation rate, pycnocline, mixed layer, Burr and lognormal
24 probability distributions, skewness and kurtosis.

25 **Abstract**

26 The probability distribution of kinetic energy dissipation rate in stratified ocean usually deviates
27 from the classic lognormal distribution that has been formulated for and often observed in
28 unstratified homogeneous layers of atmospheric and oceanic turbulence. Our measurements of
29 vertical profiles of small-scale shear, collected in the East China Sea, northern Bay of Bengal, to
30 the south and east of Sri Lanka, and in the Gulf Stream region show that the probability
31 distributions of the dissipation rate $\tilde{\epsilon}_r$ in the pycnoclines ($r \sim 1.4$ m is the averaging scale) can
32 be successfully modeled by the Burr (type XII) probability distribution. In weakly stratified
33 boundary layers, lognormal distribution of $\tilde{\epsilon}_r$ is preferable, although the Burr is an acceptable
34 alternative. The skewness Sk_ϵ and the kurtosis K_ϵ of the dissipation rate appear to be well
35 correlated in a wide range of Sk_ϵ and K_ϵ variability.

36 **1. Introduction**

37 Ocean turbulence is highly intermittent in space and time [e.g., *Seuront et al.*, 2005] with
38 characteristic vertical scales of turbulent zones (patches) varying from several centimeters up to
39 tens of meters. Turbulent patches are randomly generated and decayed in stratified ocean, being
40 usually quantified by the turbulent kinetic energy (TKE) dissipation rate $\tilde{\epsilon}_r$, averaged over
41 particular volumes or radius r . The patchiness of ocean turbulence (or its spatial inhomogeneity)
42 has been defined as the “mesoscale” or “external” intermittency of $\tilde{\epsilon}_r$ [*Lozovatsky et al.*, 2010],
43 which is to be distinguished from the “internal” or genuine intermittency of the dissipation rate.
44 The latter is attributed to random distribution of vortex filaments within turbulent regions, where
45 they stretch and dissipate energy in isolation [*Kuo and Corrsin*, 1971]. Internal intermittency
46 characterizes fluctuations of $\tilde{\epsilon}_r$ in the inertial-convective subrange [*Tennekes and Lumley*,

47 1972], between an outer turbulent scale L_0 , which is typically about 1 m in the oceanic
 48 pycnocline, and a dissipative scale $L_K \sim 40\eta_K$ [Gregg *et al.*, 1996], where $\eta_K = (\nu^3/\varepsilon)^{1/4}$ is the
 49 Kolmogorov scale [Monin and Yaglom, 1975] and ν the molecular viscosity. This is the essence
 50 of the refined similarity hypothesis (RSH) proposed by Kolmogorov [1962] and Obukhov [1962],
 51 wherein lognormal distribution for $\tilde{\varepsilon}_r$ was suggested. Considering random multiplicative
 52 cascade of turbulent eddies, generated at outer scales of turbulence, toward smaller scales of the
 53 dissipation, Gurvich and Yaglom [1967] formulated the first model of turbulence intermittency,
 54 which led to log-normal distribution of $\tilde{\varepsilon}_r$ in agreement with RSH. Although the lognormal
 55 model and its modifications [e.g., Yamazaki, 1990] have been successfully applied to various
 56 high Reynolds numbers turbulent flows, they appear to be mathematically ill-posed [e.g.,
 57 Novikov, 1970; Mandelbrot 1974]. It is because the central-limit theorem is not applicable to rare
 58 but powerful turbulent events that contribute the most to high-order moments of the velocity
 59 increments. Therefore the distribution of $\log \varepsilon$ cannot be normal [e.g., Seuront, 2008; Moum and
 60 Rippeth, 2009]. Yet many researchers regard lognormal distribution as a good practical
 61 approximation for $\tilde{\varepsilon}_r$ that characterizes internal/genuine intermittency of turbulence generated
 62 either continuously or by individual events/overturns (see Frish [1995] for an extensive
 63 discussion).

64 *Yamazaki and Lueck* [1990] demonstrated that lognormal model can be applied to $\tilde{\varepsilon}_r$, if
 65 turbulence is statistically homogeneous in a particular region with the averaging scale
 66 $L_K \ll r \ll L_0$, which is viable in well-mixed relatively thick turbulent boundary layers below
 67 the sea surface and above the ocean floor [e.g., Lozovatsky *et al.*, 2010] and in large turbulent
 68 overturns (~ 10 m or more in height) that are time to time observed in the ocean interior [e.g.,

69 *Hebert et al.*, 1992; *Gregg et al.*, 1993; *Wijesekera et al.*, 1993; *Peters et al.*, 1995]. However, in
 70 strongly stratified pycnoclines, large turbulent patches are rare events. Therefore, conventional
 71 equidistant estimates of $\tilde{\varepsilon}_\zeta$, which are usually calculated over relatively small vertical domains
 72 (typical averaging distance $\zeta = 1-2$ m), represent a random field of dissipation samples observed
 73 at various stages of turbulence evolution. The probability distributions of this dissipation field in
 74 a specific region can characterize external/mesoscale intermittency of turbulence influenced by
 75 larger scale dynamical processes, which depend on energy sources and ambient stratification.

76 As has been already mentioned, the probability distributions of $\tilde{\varepsilon}_\zeta$ were found to be close
 77 to lognormal in boundary layers or large well-mixed layers in the pycnocline, where the basic
 78 limitation, $L_K \ll r \ll L_0$, of *Gurvich and Yaglom* [1967] is met [e.g., *Baker and Gibson*, 1987;
 79 *Moum et al.*, 1989; *Yamazaki and Lueck*, 1990; *Wijesekera et al.*, 1993]. However, it has been
 80 recently shown [*Lozovatsky et al.*, 2015] that the probability distribution of the logarithm of the
 81 dissipation rate $\log_{10} \tilde{\varepsilon}_\zeta$ ($\zeta \sim 1.4$ m) in strongly stratified pycnocline can follow the generalized
 82 extreme value distribution [*Kotz and Nadarajah*, 2000] given the rare, random generation of
 83 energetic turbulence events that form patches of high dissipation rate, while most of the
 84 background turbulence is confined to weakly dissipative regions that are at final stages of
 85 turbulence decay. Random patches of intense turbulence may affect tails of the dissipation rate
 86 probability distribution [*Rousseau et al.*, 2010; *Cuypers et al.*, 2012], making them heavier than
 87 the exponential bounds. The distribution tails (especially long/fat tails) can be characterized by
 88 skewness and kurtosis of the random variable [*Rachev et al.*, 2010], providing direct link
 89 between those statistical parameters as well as external and internal intermittency of turbulence
 90 [*Moum and Rippeth*, 2008; *Thorpe et al.*, 2008].

91 This paper tests the hypothesis that the probability distribution of the TKE dissipation rate
92 in stratified ocean measured by airfoil sensors substantially deviates from the classic lognormal
93 approximation and often follows the Burr XII distribution [e.g., *Burr*, 1942; *Zimmer et al.*, 1998;
94 *Okasha and Matter*, 2015]. We analyzed data from several field campaigns carried out by the
95 authors during the last decade. Various statistics of the dissipation rate in the ocean, including its
96 third and fourth moments are discussed. The measurements have been taken in the East China
97 Sea, northern Bay of Bengal, to the south and east of Sri Lanka, and in the Gulf Stream region to
98 the east of the North Carolina shelf.

99 2. Measurements

100 The measurements of $\tilde{\varepsilon}_c$ (hereinafter just ε) were collected between 2005 and 2015 during
101 7 research cruises. In the East China Sea (ECS), one cruise was in 2005 and two in 2006. In the
102 northern Bay of Bengal (BoB), one cruise was in 2013 and two were in 2014 to the south and to
103 the east of Sri Lanka (SL). In 2015, the measurements in the Gulf Stream region (GS) were to the
104 east of the North Carolina shelf break (one cruise).

105 Three commercially manufactured microstructure profilers that are commonly employed
106 by the oceanographic community were used during the field campaigns. In the ECS [*Liu et al.*,
107 2009, *Lozovatsky et al.*, 2012, 2015a,b], we operated the MSS-60 profiler [*Prandke and Stips*,
108 1998] and Turbomap [*Wolk et al.*, 2002], while in BoB/SL [*Jinadasa et al.*, 2016; *Wijesekera et*
109 *al.*, 2016] and in GS [*Lozovatsky et al.*, 2017], the measurements were taken by VMP-500
110 [<http://rocklandscientific.com/products/profilers/vmp-500/>]. In shallow waters (ECS) the
111 measurements were collected in the depth range between the sea surface and 1-3 m above the sea
112 floor; and in deep waters (BoB/SL and GS) the profilers descended to $\sim 130 - 150$ m, being
113 limited by the length of a tethered cable and weather conditions. Note that microstructure data

114 uncontaminated by the ship movement could be obtained starting ~ 3 -5 m below the sea surface.
115 During rough weather conditions, the upper 5 – 10 m of the dissipation $\varepsilon(z)$ profiles were
116 removed from analysis. Table 1 summarizes the data sets used in this study; measurement
117 locations are shown in Figure 1 (ESC), Figure 2 (BoB/SL) and Figure 3 (GS).

118 All microstructure profilers carried two airfoil probes (to measure small-scale shear for ε
119 estimation), a three-component accelerometer, pressure sensor (depth) and a temperature-
120 conductivity package for temperature, salinity, and potential density (our VMP-500 was
121 equipped with a precise Seabird unit). The data processing followed the methodology of *Roget et*
122 *al.* [2006]; for more information, see *Liu et al.* [2009] and *Lozovatsky et al.* [2015a]. The TKE
123 dissipation rate ε was calculated by fitting Nasmyth or Panchev-Kesich benchmark spectra to
124 the measured shear spectra [e.g., *Gregg*, 1999] at consecutive segments of 2 sec (1024 points).
125 As a result, vertical profiles of $\varepsilon(z)$ were obtained with a vertical spacing of ~ 1.2 -1.5 m (1.4 on
126 the average). The same spacing was adopted for temperature $T(z)$, salinity $S(z)$, and specific
127 potential density $\sigma_\theta(z)$ profiles. The squared buoyancy frequency $N^2(z)$ was calculated using
128 the rearranged $\sigma_\theta(z)$ wherein potential density monotonically increases with depth.

129 Our analysis is mostly focused on data belonging to the ocean pycnocline. In shallow
130 waters, this is defined as a stably stratified layer that underlies the near surface mixed layer (ML)
131 and overlies the near bottom mixed layer (BL). In several cases, when the amount of $\varepsilon(z)$
132 samples from the BL and ML (below $z = 5$ -10 m) is substantial, cumulative probability
133 distributions functions $CDF(\varepsilon)$ were also computed and analyzed.

134 **3. The Dissipation Rate Statistics**

135 **3.1. Rationale for using Burr probability distribution vs. lognormal distribution for $CDF(\varepsilon)$**

136 As mentioned, the most widely used model for probability distribution of ε is the
 137 lognormal one [Gurvich and Yaglom, 1967], with the cumulative distribution function

$$138 \quad CDF_{\ln}(\varepsilon) = \Phi\left(\frac{\ln \varepsilon - \mu_{\ln \varepsilon}}{\sigma_{\ln \varepsilon}}\right), \quad (1)$$

139 where Φ is the *CDF* of the standard normal distribution [Krishnamoorthy, 2006] of the natural
 140 logarithm of ε . The log-scale $\mu_{\ln \varepsilon}$ and shape $\sigma_{\ln \varepsilon}$ parameters of the distribution determine the
 141 mean $\tilde{\varepsilon}$ and median $\hat{\varepsilon}$ values of the dissipation as

$$142 \quad \tilde{\varepsilon} = \exp\left(\mu_{\ln \varepsilon} + \sigma_{\ln \varepsilon}^2/2\right) \quad \text{and} \quad \hat{\varepsilon} = \exp(\mu_{\ln \varepsilon}). \quad (2)$$

143 It has been shown that empirical $CDF(\varepsilon)$ quite often deviates from the lognormal model,
 144 especially for pycnocline samples, such as those analyzed by Lozovatsky *et al.* [2015a], where
 145 the generalized extreme value (GEV) distribution was fitted to $CDF(\log_{10} \varepsilon)$. Note that both of
 146 these distributions have so-called right-side heavy tails (due to rare appearance of extremely
 147 large events), which means that the distribution tails are not exponentially bounded. The list of
 148 heavy tailed distributions includes such popular distributions as Weibull, gamma, and Pearson
 149 distributions [Tadikamalla, 1980], which are a part of the family of distributions introduced by
 150 Burr [1942]. Here, we focus on the Burr type XII distribution (thereafter the Burr distribution)
 151 that has right-side algebraic tail, which is more effective for modeling distributions of rare events
 152 (extreme dissipations) that occur with lesser frequency than for models with exponential tails.
 153 The Burr distribution produces a wide range of skewness and kurtosis – which are conventional
 154 parameters for characterizing turbulence intermittency [e.g., Sreenivasan and Antonia 1997;
 155 Tsinober, 2001]. The *CDF* of Burr distribution for the dissipation rate ε (>0) can be written as

$$156 \quad CDF_B(\varepsilon) = 1 - \left(1 + (\varepsilon/\varepsilon_0)^c\right)^{-k}, \quad (3)$$

157 where both $c > 0$ and $k > 0$ are shape parameters and $\varepsilon_0 \equiv \alpha$ [Rodriguez, 1977; Okasha and
 158 Matter, 2015] is a scale parameter. The mean, mode, and median of the Burr distribution are

$$159 \quad \mu_B = \frac{\alpha \Gamma\left(\frac{1}{c}\right) \Gamma\left(k - \frac{1}{c}\right)}{c \Gamma(k)}, \quad (4)$$

$$160 \quad \text{Mode}_B = \alpha \left(\frac{c-1}{ck+1}\right)^{1/c}, \quad ck > 1, \quad (5)$$

$$161 \quad \text{Med}_B = \alpha \left(2^{1/k} - 1\right)^{1/c}, \quad (6)$$

162 where Γ is the gamma function. The Burr cumulative distribution and survival functions are
 163 written in closed form, which simplifies the computation of the percentiles and the likelihood
 164 function of censored data [Zimmer *et al.*, 1998]. It is a valuable feature for statistical analysis of
 165 the dissipation rate because reliable estimates of ε in the ocean have been found in a wide, yet
 166 bounded range between $(\sim 10^{-11} - 10^{-10}) < \varepsilon < (\sim 10^{-4} - 10^{-5})$ W/kg [e.g., Baumert *et al.*,
 167 2005]. A lower and higher trusted values of ε have not been reported yet due to technical
 168 limitations of existing instruments.

169 The empirical $CDF(\varepsilon)$ were calculated for the available datasets and approximated by
 170 lognormal and Burr distributions using the Matlab dfittool application. Parameters of both
 171 distributions are given in Tables 2-5 for the ECS, BoB/SL, and GS regions (Figures 1-3),
 172 respectively, along with the estimates of the mean, mode, and median for the corresponding
 173 approximations and empirical data. To check which of the two competing statistical models fits
 174 the data better (in the sense of information entropy), we calculated the normalized Akaike
 175 information criterion [Akaike, 1974; Bozdogan, 1987]

$$176 \quad AIC = \left[(1 + \ln(n))p - 2\ell \right] / n, \quad (7)$$

177 where p is the number of model parameters ($p = 2$ for lognormal and $p = 3$ for the Burr,
178 respectively), ℓ the maximized value of the log-likelihood function of the model calculated in
179 the course of the fitting process, and n the sample size, all of which are included in Tables 2-5. It
180 should be emphasized that ℓ for all distributions are positive and high because the values of ε
181 in [W/kg] are very small (much below unity), which lead to high negative values of AIC (the
182 model with smaller AIC provides the better approximation to a specified data set). Later, we use
183 the difference between AIC for the Burr and lognormal approximations to indicate the better
184 choice for a particular set of the dissipation samples.

185 **3.2. The Burr and lognormal approximations for the observed $CDF(\varepsilon)$**

186 Details of microstructure data employed in this study as well as the descriptions of
187 background hydro-meteorological conditions, regional circulation and local stratification are
188 reported in *Jinadasa et al.* [2016], *Wijesekera et al.* [2016] and *Lozovatsky et al.* [2017] for deep
189 ocean measurements taken in the BoB/SL and GS, respectively, and by *Liu et al.* [2009] and
190 *Lozovatsky et al.* [2012, 2015a,b] for shallow water measurements in the ECS.

191 The empirical cumulative distribution functions $CDF(\varepsilon)$ for the pycnocline (PC) depths
192 in deep waters are shown in Figure 4 (northern Indian Ocean) and in Figure 5 (Gulf Stream
193 region), and information regarding these data sets, parameters of the distributions as well as
194 parameters of the Burr and lognormal approximations are given in Tables 2 and 3. For shallow
195 waters (ECS), the corresponding information is in Table 4 and in Figures 6 and 7.

196 The Kolmogorov-Smirnov (K-S) nonparametric test [e.g., *Massey*, 1951] was used to
197 verify the null hypothesis that empirical data comes from the reference distribution (Burr or
198 lognormal in our case) versus the alternative that they do not come from such a distribution. The

199 result is 1 if the test rejects the null hypothesis at 0.05 significance level, or 0 otherwise; the
 200 corresponding p -values were also obtained [<http://www.mathworks.com/help/stats/kstest.html>].

201 It appears, that the Burr model approximates 10 out of 11 empirical CDFs calculated for
 202 the GS and BoB/SL pycnocline measurements (Figures 4 and 5), while the lognormal model fails
 203 for all of these empirical distributions. The difference $(AIC_{Br} - AIC_{lg_n})/n$ shown in Figure 8a
 204 for the Burr and Figure 8b for the lognormal models clearly indicate the suitability and
 205 dominance of the Burr model for strongly stratified upper ocean pycnocline.

206 In shallow waters (ECS), however, both models are competing evenly to fit the data (the
 207 AIC differences in Figure 8 a,b are close to zero), although the lognormal approximation fails
 208 three times more often than the Burr model (red stars vs. red circle in the ECS panels). Note that
 209 four CDFs shown in Figures 6, 7 (the corresponding AICs are marked as BL in Figure 8) belong
 210 to relatively tall ($\sim 10 - 20$ m height), weakly stratified bottom layers of the central ECS, where
 211 the intermittency of ε resembles more that of a pycnocline rather than that of a well-developed
 212 homogeneous turbulence in the surface mixing layer.

213 In this regard, several examples of the surface layer $CDF(\varepsilon)$ are shown in Figure 9
 214 (details are in Table 5) for data obtained below $z = 10$ m in a well-defined mixed layers of at
 215 least 30-45 m deep (the BoB and SL/WDr measurements). According to Table 5 and results of
 216 the K-S test, the Burr model cannot be rejected for all 4 empirical distributions, but for 3 of them
 217 the lognormal model also does well, if not even slightly better than the Burr model. The BoB
 218 Nov 23 $CDF(\varepsilon)$ distribution, however, strongly deviates from the best possible lognormal
 219 approximation, showing at the same time the lowest median value of $\varepsilon \approx 7.7 \times 10^{-10}$ W/kg. This
 220 number is about ten and even hundred times smaller than the other medians shown in the same
 221 figure. It may imply that the ML $CDF(\varepsilon)$ of Nov 23 describes dissipation data taken from a

222 buried mixed layer ($15 < z < 45$) where wind-induced turbulence and active mixing almost
 223 ceased, being suppressed by a sharp diurnal pycnocline. It is also possible that the generation and
 224 dissipation of upper layer turbulence in the presence of multiple frontal zones could be a unique
 225 feature of the northern BoB, which requires better understanding of the process and much more
 226 extensive data for statistical analysis.

227 The examples of $CDF(\varepsilon)$ given in Figure 9 (as well as the GS_S ML $CDF(\varepsilon)$, which is
 228 not shown in the plot as it almost coincides with the Nov 21 CDF) indicate that the probability
 229 distribution of dissipation rate in turbulent, actively mixing layers can be approximated by
 230 lognormal model, which is in agreement with Gurvich and Yaglom [1967] and previous
 231 observations in surface layers of oceans and lakes [e.g., *Thorpe et al.*, 2008; *Lozovatsky et al.*,
 232 2006; *Planella et al.*, 2011]. At the same time, the Burr distribution could be as good as
 233 lognormal model in application to ML $CDF(\varepsilon)$, with Burr model having some advantage. Thus
 234 Burr model is a suitable competitor for approximating $CDF(\varepsilon)$ for active (mixing layer) as well
 235 as decaying (mixed layer) turbulence.

236 **3.3. Interplay between parameters of the Burr approximation**

237 The Burr distribution, which approximates most of the dissipation records analyzed in
 238 this study, is a 3 parameters distribution (as well as the generalized extreme value distribution
 239 [*Lozovatsky et al.*, 2015a]), which could be considered as a disadvantage compared to competing
 240 distributions such as lognormal or sometimes Weibull that are specified by 2 parameters. We,
 241 however, found that two independent shape parameters of the Burr distribution $c_{Br} \equiv c$ and
 242 $k_{Br} \equiv k$ (Eq. 3) are interrelated when the model is applied to the dissipation rate CDF s. Figure 10
 243 shows the regression plot of $\log_{10} k_{Br}$ versus $\log_{10} c_{Br}$, indicating an inverse dependence

244 $k_{Br} = 1.26/c_{Br}$ with the coefficient of determination $r^2 = 0.8$. As such, the Burr distribution (3)
 245 for ε can be rewritten as

$$246 \quad CDF_B(\varepsilon) = 1 - \left(1 + (\varepsilon_0/\varepsilon)^{bk}\right)^{-k}, \quad (3a)$$

247 with only one shape parameter $k_{Br} > 0$, a scale parameter ε_0 , and a constant b , which is about
 248 0.8 (close to unity). Equation 3a represents the inverse Burr or the Dagum distribution [Dagum,
 249 1997] wherein the shape parameters of the Burr are functionally related. An increasing trend of
 250 the shape parameter k_{Br} with the increase of the scale parameter ε_0 (which can be interpreted as
 251 a characteristic dissipation rate in the region) is shown in Figure 11, however the GS pycnocline
 252 data is not in line with this notion. Formally, k_{Br} and ε_0 could be completely independent, but it
 253 is possible that the probability distribution of dissipation rate in the ocean may have a tendency
 254 to be more skewed (larger values of the shape parameter) for more active turbulence (larger ε_0).
 255 This preliminary finding requires more scrutiny based on more extensive datasets.

256 **3.3.1. Skewness and kurtosis of the dissipation rate in the ocean**

257 The skewness of the dissipation rate (Sk_ε) as well as its kurtosis (K_ε) are important
 258 parameters that indicate the degree of intermittency of ocean turbulence. To our knowledge,
 259 however, the *relationship* between Sk_ε and K_ε for oceanic turbulence has not been analyzed
 260 yet. Soloviev [1990] was among the first to calculate the skewness $Sk_{dT/dt}$ of small scale
 261 temperature derivatives dT/dt in oceanic surface layer, finding it to be negative (between -0.7
 262 and -1.0) during night-time convection but positive during day-time stable stratification. Thorpe
 263 *et al.* [1991] obtained similar results for $Sk_{dT/dt}$ in a boundary layer a sloping bottom. Thorpe and
 264 Osborn [2005] and Thorpe *et al.* [2008] further examined $Sk_{dT/dt}$ across a mixed water column

265 on a tidal shelf as well as the skewness of the gradient $d(\log \varepsilon)/dt$. They also found that $Sk_{\log \varepsilon}$
 266 itself was mostly close to zero (the kurtosis of $\log \varepsilon$ was about 3), in agreement with often
 267 observed normal distribution of $\log \varepsilon$ in non-stratified turbulent layers. The skewness of the
 268 gradient $Sk_{d(\log \varepsilon)/dt}$, however, appeared to be nonzero, though small. The authors attributed the
 269 observed correspondence between the signs of $Sk_{dT/dt}$ and $Sk_{d(\log \varepsilon)/dt}$ to possible advection of
 270 small-scale turbulence by billows in a tidal shear flow.

271 As mentioned, the *relationship* between skewness and kurtosis of dissipation rate, which
 272 is proportional to the variance $\overline{(\partial u'_i / \partial x_i)^2}$ (here $i = 1, 2, 3$), has not been examined yet, although
 273 a number of publications have dealt with Sk and K of a derivative $\partial u'_i / \partial x_i$ [e.g., *Van Atta and*
 274 *Antonia*, 1980; *Sreenivasan and Antonia*, 1997; *Kholmyansky et al.*, 2001]. For example, various
 275 laboratory and atmospheric data examined by *Van Atta and Antonia* [1980] showed that at the
 276 scales on the order of the Taylor microscale λ , both Sk and K of $\partial u'_i / \partial x_i$ are dependent on the
 277 turbulent Reynolds number $R_\lambda = rms(u'_i) \lambda / \nu$ according to the empirical relation
 278 $-Sk = 0.23K^{0.362}$, which is close to their own (as well as *Wyngaard and Tennekes'* [1970])
 279 modeling prediction $Sk \sim K^{3/8}$.

280 Skewness and kurtosis for any probability distribution are not independent but follow
 281 $K \geq Sk^2 + 1$ [e.g., *Krishnamoorthy*, 2006], that is the full kurtosis can never be less than 1 and
 282 the excess kurtosis $(K - 3)$ cannot drop below -2 . For atmospheric turbulence, the
 283 correspondence between Sk and K of both scalar and wind velocity fluctuations has been
 284 reported by *Mole and Clark* [1995], *Alberghi et al.*, [2002], *Maurizi* [2006]. These authors
 285 attempted a generalized relationship, namely $K = a(Sk^2 + 1)$ based on the above-mentioned

286 statistical limit $K \geq (Sk^2 + 1)$ [Kendall and Stuart, 1977]. Maurizi [2006] speculated that for
 287 vertical velocity fluctuations in stably stratified layers, the coefficient a could be an increasing
 288 function of the gradient Richardson number, however, no convincing evidence was offered.

289 A regression plot of K_ϵ versus Sk_ϵ , which employs all our dissipation rate data for ECS,
 290 BoB/SL, and GS, is shown in Figure 12 (28 points total). The data samples follow the expected
 291 theoretical dependence

$$292 \quad K_\epsilon = a(Sk_\epsilon^2 + 1) \quad (8a)$$

293 over a wide range of Sk_ϵ and K_ϵ . The constant $a = 1.26 \pm 0.01$ (the least absolute residuals
 294 estimate). Note also that the entire data set can be approximated by an empirical expansion of
 295 (8a)

$$296 \quad K_\epsilon = a_1 Sk_\epsilon^2 + b, \quad (8b)$$

297 where a_1 and b are some constants [Shaw and Seginer, 1987, Schopflocher and Sullivan, 2004].

298 In our case, $a_1 = 1.25$ and $b = 2.95$ define the curve in Figure 12, which is almost
 299 indistinguishable from that of (8a). Because a majority of the data (17 out of 28 points) are
 300 concentrated at relatively low values of skewness and kurtosis, an enlarged plot of $K_\epsilon(Sk_\epsilon)$ for
 301 $Sk_\epsilon < 10$ is shown in the insert of Figure 12; formula (8a) fits this sub-set of data very well with
 302 a slightly larger value of $a = 1.31$.

303 Thus, we conclude that a one parameter quadratic model (8a) nicely approximates the
 304 relationship between the dissipation rate skewness and kurtosis for the data sets of this study.
 305 Our analysis of Sk_ϵ and K_ϵ of oceanic turbulence, however, does not indicate any dependence
 306 of the parameter a (8a) on flow stability (Richardson number) as has been suggested by Maurizi
 307 [2006] for wind velocity fluctuations.

308 4. Conclusions

309 Our analysis of the dissipation rate records collected in deep (the northern Indian Ocean
 310 and the Gulf Stream region) and shallow waters (the East China Sea) with characteristic
 311 equidistant vertical averaging of individual samples ~ 1.4 m suggests that the Burr type XII
 312 probability distribution is an appropriate statistical model for the distribution of ε in *ocean*
 313 *pycnocline*, whereas lognormal model does not perform as good. In weakly stratified boundary
 314 layers, however, both statistical models compete equally well with lognormal model performing
 315 somewhat better.

316 It was also found that the two shape parameters of the Burr distribution (3) are
 317 functionally related, with $k_{Br} = 1.26/c_{Br}$, thus reducing the 3 parameters Burr distribution to a 2
 318 parameters distribution (3a), which is also called the Dagum distribution. This is an indication
 319 that the distribution of ε in the ocean pycnocline may be more skewed (larger values of the Burr
 320 shape parameter) toward more energetic turbulence events (larger values of the Burr scale
 321 parameter). This latter postulation requires further corroboration with more extensive datasets.

322 Because skewness and kurtosis of turbulent fluctuations are important characteristics of
 323 turbulence intermittency in environmental flows, we, for the first time, examined the relationship
 324 between Sk_ε and K_ε for oceanic turbulence. The values of Sk_ε and K_ε calculated for all 28
 325 available records of ε varied from 1 to 100 for Sk_ε and from 3 to 700 for K_ε , and exhibited
 326 remarkably strong one-parameter quadratic dependence between Sk_ε and K_ε (8a), which
 327 approximated well the data obtained in sharp pycnoclines, weakly stratified bottom layers or in
 328 almost homogeneous surface mixed layers.

329 From the probabilistic point of view, the generation/dissipation of energetic turbulence in
 330 strongly stratified pycnoclines, like those in the summertime ECS, in the northern BoB and all

331 the way around Sri Lanka, can be considered as a random sequence of rare events. The sources
332 of turbulence therein is most probably associated with non-stationary, intermittent internal-wave
333 breaking [e.g., *Gregg et al.*, 1993; *Moum and Ripeth*, 2009] and sporadic shear-induced
334 instabilities [e.g., *Srang and Fernando*, 2001; *Thorpe et al.*, 2008]. In less stratified layers and in
335 regions with sustainable shear instability (like, for example, Equatorial undercurrents), the
336 mesoscale intermittency of dissipation rate could be specified by more traditional log-normal
337 distribution [e.g., *Baker and Gibson*, 1987; *Wijesekera et al.*, 1993; *Jinadasa et al.*, 2013]. Even
338 for such layers, however, the Burr distribution is a good model to represent stochastic nature of
339 ocean turbulence.

340 The dependence of parameters pertinent to ε distributions on statistical quantities that
341 describe background flow such as buoyancy frequency, vertical shear, and the gradient
342 Richardson number [e.g., *Gregg et al.*, 1993; *Lozovatsky and Erofeev*, 1993] is of considerable
343 practical interest, and should be addressed in future studies.

344 **Aknowlegement**

345 The authors are thankfull to the crews of research vessels participated in the 2005-2015 field
346 campaigns. This study was supported by the US Office of Naval Research Grants N00014-13-1-
347 0199 and N00014-14-1-0279 (IL, HJSF, SUPJ), N00014-05-1-0245 (IL, ZL and JHL), N00014-
348 17-1-3195 and NPS - N00244-14-2-0004 (IL, HJSF, JPM). The data can be requested from the
349 1st author.

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- 507

508 **Figure Captions**

509 Figure. 1. Bathymetry and main circulation patterns in the East China Sea and Yellow Sea: TWC
 510 (Taiwan Warm Current), YSCC (Yellow Sea Coastal Current) and YSWC (Yellow Sea
 511 Warm Current), TCC (Tidal-induced Coastal Current) and ZMCC (Zhe-Min Coastal
 512 Current) from *Zhanga et al.* [2016]. Measurements at stations **S1** and **S2** [*Liu et al.*,
 513 2009] and at **CDW** [*Lozovatsky et al.*, 2012] were taken in 2006 using an MSS profiler;
 514 measurements at the **IK** [*Lozovatsky et al.*, 2015a,b] were conducted in 2005 and 2006
 515 using a Turbomap profiler.

516 Figure 2. The VMP measurements in the northern Bay of Bengal (the orange star shows the
 517 location of measurements using R/V *Roger Revelle*, November 2013) and along the
 518 Weligama (WS) and Trincomalee (TS) sections (R/V *Samuddrika*, April and September
 519 2014, respectively). The main currents in the region are the East Indian Coastal Current
 520 (EICC) with its extension to the south of Sri Lanka as the Winter Monsoon Current
 521 (yellow arrow) and the South Monsoon Current (SMC) with the main (red arrow) and a
 522 secondary (dashed arrow) branches directed northward and eastward, respectively.

523 Figure 3. The Google earth topography in the region of VMP measurement off the North
 524 Carolina shelf, showing the locations of the southern GS_S station (the red rectangular:
 525 $\varphi = 35.83^{\circ}\text{N}$, $\lambda = 74.1^{\circ}\text{W}$) near the Gulf Stream core, the northern GS_N station (the
 526 white crossed ellipse: $\varphi = 36.15^{\circ}\text{N}$, $\lambda = 74.53^{\circ}\text{W}$) near the GS northern wall, and R56
 527 station near the shelf break (the red-yellow star: $\varphi = 36.25^{\circ}\text{N}$, $\lambda = 74.76^{\circ}\text{W}$).

528 Figure. 4. The cumulative distribution functions $\text{CDF}(\varepsilon)$ for the BoB and SL pycnocline
 529 dissipation rate ε_{pc} in the depth ranges between the pycnocline upper boundaries shown
 530 in the legends and $z = \sim 130$ m. The BoB data of 2013: Nov 18 (a), Nov 19 (b), Nov 21

531 (c), Nov 23 (d). The SL data: Weligama section (WS), Weligama drift (WDr) (e) and
532 Trincomalee section (TS) (f). CDF are approximated by the Burr and lognormal
533 distributions; the less favorable approximation among the two is shown by dash lines;
534 the arrows point to the medians. Parameters of the distributions are in Table 2.

535 Figure 5. The cumulative distribution functions $CDF(\varepsilon)$ for the pycnocline dissipation rate ε_{pc}
536 (above $z = \sim 130$ m) at stations GS-S (a), GS-N 10 am (b) and GS-N 8-9 pm (c), and
537 R56 (d) approximated by the Burr and lognormal distributions (the pycnocline upper
538 boundaries are given in the legends). Parameters of the distributions are in Table 3. The
539 arrows point to the median values. The dash lines indicate the less favorable
540 approximation of the two.

541 Figure 6. The cumulative distribution functions $CDF(\varepsilon)$ of the TKE dissipation rate ε for the
542 pycnocline (PC) and bottom boundary layer (BL) in the central basin of the ECS to the
543 south of Jeju Island near IEODO station (see Figure 1) for 2005 (a) and 2006 (b)
544 measurements. Parameters of the Burr and lognormal approximations are in Table 4.
545 The dash lines indicate less favorable approximation among the two, arrows are the
546 medians. The depth ranges of PC and BL are given in legends.

547 Figure 7. The cumulative distribution functions $CDF(\varepsilon)$ for the pycnocline (PC) and bottom
548 layer (BL) near the inner shelf break of ECS at CDW (a) and S2 (b) stations, and in the
549 central ECS at station S1 (c) (see Figure 1). The depth ranges of PC and BL are given in
550 legends. Parameters of the Burr and lognormal approximations are in Table 4. The dash
551 lines indicate the less favorable approximation among the two; the arrows point to the
552 median values.

553 Figure 8. The normalized difference between Akaike information criteria calculated for the Burr
 554 AIC_{Br} and lognormal AIC_{lg_n} models fitted to the empirical probability distributions of
 555 ε shown in Figures 4-7 and numbered in Tables 2-4. A negative $(AIC_{Br} - AIC_{lg_n})/n$
 556 favors the Burr approximation over the lognormal one and vice versa; a) – results of the
 557 Kolmogorov-Smirnov test for the Burr model for each dataset; b) Results of the same
 558 test for the lognormal model. Green symbols indicate CDFs, for which the tested
 559 approximation cannot be rejected, otherwise the red symbols (the model does not fit the
 560 data with 0.05 significance level). PC – pycnocline; BL – boundary layer

561 Figure 9. The cumulative distribution functions $CDF(\varepsilon)$ for the dissipation rate ε_{ml} in the mixed
 562 surface layer (ML, the depth range is in the legend) in the BoB (Nov 19-23 stations) and
 563 along the Weligama drift (WDr). Parameters for Burr and lognormal distributions are in
 564 Table 5. The medians are shown by arrows. The less favorable approximation among
 565 the two is dashed.

566 Figure 10. An inverse power approximation of the correlation between Burr shape parameters
 567 $c_{Br} \equiv c$ and $k_{Br} \equiv k$ (Eq. 3) for ECS, BoB/SL, and GS pycnoclines (PC) and the ECS
 568 bottom layer (BL).

569 Figure 11. The shape parameter k_{Br} of the Burr approximations of $CDF(\varepsilon)$ versus its scale
 570 parameter ε_0 for ECS, BoB/SL, and GS pycnoclines and ECS bottom layer.

571 Figure 12. The kurtosis K_ε as a function of skewness Sk_ε of the dissipation rate measured in the
 572 BoB/SL and GS pycnocline and mixed layer (PC, ML) as well as in the PC and bottom
 573 layers (BL) of the ECS. Data for $Sk_\varepsilon < 10$ is in insert. The quadratic approximations are

574 in the legends (LAR is the least absolute residuals method used in Matlab curve fitting
575 application).

576

577 Table 1. The dissipation measurements sites in 2005 – 2015.

Station name & Date	Latitude (ϕ) and Longitude (λ)	Ocean depth	Duration, profiler, cast
East China Sea (R/V <i>Eardo</i> , S. Korea)			
IEODO: Aug 27, 2005	$\phi=32.12^\circ\text{N}$, $\lambda=125.17^\circ\text{-}125.19^\circ\text{E}$	41-50 m	5.5 h, 57 Turbomap casts
IEODO: Aug 13-14, 2006	$\phi=32.13^\circ\text{-}32.18^\circ\text{N}$, $\lambda=125.17^\circ\text{E}$	49-63 m	20 h, 134 Turbomap casts
East China Sea (R/V <i>Beidou</i> , China)			
CDW: Sep 3-4 2006	$\phi=30.82^\circ\text{N}$, 122.93°E	38 m	25 h, 50 MSS casts
S1: Sep 20–21, 2006	$\phi=35.01^\circ\text{N}$, $\lambda=123.00^\circ\text{E}$	73 m	25 h, 71 MSS casts
S2: Sep 25–26, 2006	$\phi=35.00^\circ\text{N}$, $\lambda=121.50^\circ\text{E}$	37 m	25 h, 78 MSS casts
Northern Bay of Bengal (R/V <i>Roger Revelle</i> , USA); Weligama (WS) and Trincomalee (TS) sections from Sri Lankan coast (R/V <i>Samuddrika</i> , SrL)			
BoB1: Nov 18, 2013	$\phi=15.94\text{-}15.96^\circ\text{N}$, $\lambda=86.94.3\text{-}86.96^\circ\text{E}$	2740 m	1.5 h, 12 VMP casts
BoB2: Nov 19, 2013	$\phi=15.95^\circ\text{N}$, $\lambda=86.91\text{-}86.94^\circ\text{E}$	2750 m	1.5 h, 12 VMP casts
BoB3: Nov 21, 2013	$\phi=16.20\text{-}16.22^\circ\text{N}$, $\lambda=86.96^\circ\text{E}$	2690 m	2 h, 12 VMP casts
BoB4: Nov 23, 2013	$\phi=15.95\text{-}16.18^\circ\text{N}$, $\lambda=86.72\text{-}86.91^\circ\text{E}$	2740 m	5.5 h, 12 VMP casts
WS: April 23-24, 2014	$\phi=5.92\text{-}5.37^\circ\text{N}$, $\lambda=80.4^\circ\text{E}$	120–4200 m	19h, 16 VMP casts
WS/drift: Apr 25, 2014	$\phi=5.73^\circ\text{N}$, $\lambda\sim 80.45^\circ\text{E}$	1200-1240 m	4 h, 18 VMP casts
TS: Sep 9-10, 2014	$\phi=8.0\text{-}8.1^\circ\text{N}$, $\lambda=81.79\text{-}82.6^\circ\text{E}$	960-3870 m	20 h, 19 VMP casts
Gulf Stream region to the east of the NC shelf (R/V <i>Atlantic Explorer</i> , USA)			
GS_S: Oct 30, 2015	$\phi=35.83^\circ\text{N}$, $\lambda=74.10^\circ\text{E}$	2660 m	2 h, 4 VMP casts
GS_N: Nov 1, 2015	$\phi=36.17^\circ\text{N}$, $\lambda=74.54^\circ\text{E}$ $\phi=36.14^\circ\text{N}$, $\lambda=74.51^\circ\text{E}$	1670-1770m	2 h, 5 VMP cast
R56: Nov 1, 2015	$\phi=36.24^\circ\text{N}$, $\lambda=74.76^\circ\text{E}$	720 m	1 h, 3 VMP casts

578 Table 2. Parameters of the Burr and lognormal distributions used to fit the $CDF(\varepsilon)$ from the
 579 northern Bay of Bengal (BoB) and around Sri Lanka (WS, WDr, TS); PC - refers to the
 580 pycnocline depths exceeding given z ; n is the number of samples used to calculate
 581 $CDF(\varepsilon)$. A larger log likelihood estimate is in bold. The respective $CDF(\varepsilon)$ plots are
 582 shown in Figure 4.

	Approximation	Burr distribution				Lognormal distribution			$\ell = \log$ likelihood	Empirical estimates
No	Region/Parameter	α	c	k	Mean, Median, Mode	μ	σ	Mean, Median, Mode	Burr/ lognormal	Mean, Median, Mode
20	BoB, Nov 18, PC ($n=778$) $z > 20$ m	6.4×10^{-10}	3.65	0.47	1.44×10^{-9} 8.93×10^{-10} 6.36×10^{-10}	-20.75	0.75	1.30×10^{-9} 9.7×10^{-10} 5.5×10^{-10}	15324/ 15260	1.51×10^{-9} 8.9×10^{-10} 4.0×10^{-10}
21	BoB, Nov 19, PC ($n=781$) $z > 25$ m	3.7×10^{-10}	3.30	0.34	3.35×10^{-9} 6.6×10^{-10} 3.8×10^{-10}	-20.98	1.02	1.30×10^{-9} 7.7×10^{-10} 2.7×10^{-10}	15353/ 15263	2.50×10^{-9} 6.5×10^{-10} 1.4×10^{-10}
22	BoB, Nov 21, PC ($n=589$) $z > 55$ m	2.9×10^{-10}	3.02	0.51	7.7×10^{-10} 4.1×10^{-10} 2.7×10^{-10}	-21.52	0.85	6.4×10^{-10} 4.5×10^{-10} 2.2×10^{-10}	11982/ 11941	9.7×10^{-10} 4.3×10^{-10} 2.5×10^{-10}
23	BoB, Nov 23, PC ($n=727$) $z > 50$ m	1.5×10^{-10}	4.65	0.22	3.76×10^{-9} 3.0×10^{-10} 1.7×10^{-10}	-21.70	1.00	6.2×10^{-10} 3.8×10^{-10} 1.4×10^{-10}	14851/ 14748	8.3×10^{-10} 2.9×10^{-10} 2.1×10^{-10}
24	WDr, PC: ($n=1050$) $z > 30$ m	4.4×10^{-10}	3.32	0.28	x 9.0×10^{-10} 4.7×10^{-10}	-20.60	1.14	2.16×10^{-9} 1.13×10^{-9} 3.1×10^{-10}	20104/ 20002	2.75×10^{-9} 8.8×10^{-10} 1.9×10^{-10}
25	WS, PC: ($n=1099$) $z > 30$ m	3.9×10^{-10}	3.71	0.23	x 8.67×10^{-9} 4.32×10^{-9}	-20.60	1.20	2.32×10^{-9} 1.13×10^{-9} 2.7×10^{-10}	21023/ 20880	3.47×10^{-9} 8.4×10^{-10} 7.3×10^{-10}
26	TS, PC: ($n=575$) $z > (10-30)$ m	4.8×10^{-10}	3.33	0.23	x 1.17×10^{-9} 5.2×10^{-10}	-20.24	1.30	3.78×10^{-9} 1.62×10^{-9} 3.0×10^{-10}	10719/ 10670	4.99×10^{-9} 1.15×10^{-9} 1.3×10^{-10}

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585 Table 3. Parameters of the Burr and lognormal distributions used to approximate the $CDF(\varepsilon)$
 586 for the Gulf Stream region and adjoining waters. PC refers to the pycnocline depths,
 587 exceeding given z ; n is a number of samples used to calculate $CDF(\varepsilon)$. The respective
 588 plots are shown in Figure 5. A larger log likelihood estimate is in bold.

	Approximation	Burr distribution				Lognormal distribution			$\ell = \log$ likelihood	Empirical estimates
No	Region/Parameter	α	c	k	Mean, Median, Mode	μ	σ	Mean, Median, Mode	Burr/ lognormal	Mean, Median, Mode
29	GS-S, 10 am, PC: ($n = 436$), $z > 60$ m	1.27×10^{-9}	18.5	0.08	3.91×10^{-9} 2.03×10^{-9} 1.54×10^{-9}	-19.8	0.66	3.13×10^{-9} 2.51×10^{-9} 1.63×10^{-9}	8307 /8195	3.35×10^{-9} 1.98×10^{-9} 1.05×10^{-9}
30	GS-N, 10 am, PC: ($n = 314$), $z > 10$ m	1.85×10^{-9}	19.3	0.06	1.25×10^{-8} 3.41×10^{-9} 2.4×10^{-9}	-19.26	0.93	6.66×10^{-9} 4.32×10^{-9} 1.82×10^{-9}	5755 /5626	1.01×10^{-8} 3.32×10^{-9} 1.60×10^{-9}
31	GS-N, 8-9 pm, PC: ($n = 646$), $z > 30$ m	1.66×10^{-9}	8.96	0.10	- 3.49×10^{-9} 2.65×10^{-9}	-19.17	0.94	7.39×10^{-9} 4.74×10^{-9} 1.96×10^{-9}	11591 / 11504	1.25×10^{-8} 4.05×10^{-9} 1.05×10^{-9}
32	R56, 6 pm, PC: ($n = 490$), $z > 10$ m	1.9×10^{-9}	10.96	0.14	5.42×10^{-9} 2.98×10^{-9} 2.48×10^{-9}	-19.46	0.67	4.45×10^{-9} 3.56×10^{-9} 2.27×10^{-9}	9155 /9035	5.08×10^{-9} 2.87×10^{-9} 1.24×10^{-9}

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591 Table 4. Parameters of the Burr and lognormal fits of empirical $CDF(\varepsilon)$ for several regions of
 592 the East China Sea (ECS). PC and BL refer to the pycnocline and bottom layer depths z ,
 593 respectively, which are specified; n is the number of samples. The respective $CDF(\varepsilon)$
 594 plots are shown in Figures 6 and 7.

No	Approximation Region, dates, layers, a number of samples (n)	Burr distribution parameters			Lognormal distribution parameters			$\ell = \log$ likelihood Burr/ lognormal	Empirical estimates Mean, Median, Mode	
		α	c	k	Mean, Median, Mode	μ	σ			Mean, Median, Mode
3	ECS, 2005 IEODO, PC: ($n = 616$) $15 < z < 25$ m	56.7×10^{-9}	1.09	1.34	1.29×10^{-7} 3.97×10^{-8} 2.73×10^{-9}	-17.09	1.46	1.1×10^{-7} 3.78×10^{-8} 4.49×10^{-9}	9413/ 9421	1.07×10^{-7} 3.93×10^{-8} 9.5×10^{-10}
4	ECS, 2005 IEODO, BL: ($n = 243$) $40 < z < 54$ m	130×10^{-9}	1.93	0.99	2.15×10^{-7} 1.31×10^{-7} 7.2×10^{-8}	-15.85	0.94	2.03×10^{-7} 1.31×10^{-7} 5.40×10^{-8}	3524 / 3521	2.08×10^{-7} 1.37×10^{-7} 1.98×10^{-7}
5	ECS, 2006 IEODO, PC: ($n = 2140$) $13 < z < 30$ m	9.83×10^{-9}	1.81	0.54	x 1.67×10^{-8} 3.45×10^{-8}	-17.76	1.32	4.63×10^{-8} 1.94×10^{-8} 3.39×10^{-9}	34432 / 34377	6.72×10^{-8} 1.69×10^{-8} 1.04×10^{-8}
6	ECS, 2006 IEODO, BL: ($n = 2090$) $40 < z < 63$ m	714×10^{-9}	0.77	3.21	3.29×10^{-7} 1.12×10^{-7} x	-16.22	1.81	4.65×10^{-7} 9.03×10^{-8} 3.41×10^{-9}	29730 / 29684	3.39×10^{-7} 1.24×10^{-7} 1.11×10^{-7}
7	ECS, 2006, CDW PC: ($n=390$) $10 < z < 25$ m	4.74×10^{-9}	2.01	0.51	1.92×10^{-7} 8.04×10^{-9} 3.35×10^{-9}	-18.50	1.19	1.88×10^{-8} 9.24×10^{-9} 2.24×10^{-9}	6597 / 6594	2.02×10^{-8} 8.44×10^{-9} 1.11×10^{-8}
8	ECS, 2006, CDW BL: ($n=287$) $25 < z < 37$ m	15.5×10^{-9}	1.56	0.64	x 2.38×10^{-8} 6.86×10^{-9}	-17.45	1.37	6.75×10^{-8} 2.64×10^{-8} 4.04×10^{-9}	4513 / 4511	8.06×10^{-8} 2.48×10^{-8} 1.21×10^{-8}
10	ECS, 2006, S1, PC: ($n = 894$) $18 < z < 31$ m	7.21×10^{-9}	1.50	0.68	3.64×10^{-7} 1.06×10^{-8} 2.84×10^{-9}	-18.28	1.37	2.94×10^{-8} 1.15×10^{-8} 1.76×10^{-9}	14787/ 14793	3.09×10^{-8} 1.05×10^{-8} 1.05×10^{-9}
11	ECS, 2006, S1, BL: ($n = 2021$) $35 < z < 72$ m	0.36×10^{-9}	2.31	0.33	x 8.44×10^{-10} 3.17×10^{-10}	-20.61	1.42	4.07×10^{-9} 1.12×10^{-9} 1.5×10^{-10}	38187 / 38063	4.33×10^{-9} 8.9×10^{-10} 1.27×10^{-9}
13	ECS, 2006, S2, PC: ($n = 178$) $15 < z < 24$ m	63.1×10^{-9}	0.82	2.70	4.04×10^{-8} 1.41×10^{-8} x	-18.23	1.65	4.72×10^{-8} 1.21×10^{-8} 7.9×10^{-10}	2902/ 2904	3.69×10^{-8} 1.39×10^{-8} 1.58×10^{-9}
14	ECS, 2006, S2, BL: ($n = 316$) $25 < z < 37$ m	274×10^{-9}	1.84	1.80	2.37×10^{-7} 1.82×10^{-7} 1.13×10^{-7}	-15.58	0.85	2.46×10^{-7} 1.71×10^{-7} 8.32×10^{-8}	4535 / 4526	2.40×10^{-7} 1.88×10^{-7} 1.75×10^{-7}

595

596 Table 5. Parameters of the Burr and lognormal approximations of the $CDF(\varepsilon)$ pertained to the
 597 specified surface mixed layer (ML) depths in the Bay of Bengal (BoB), near Sri Lanka
 598 (WDr), and in the Gulf Stream (GS-S). The respective $CDF(\varepsilon)$ plots are shown in
 599 Figure 9; n is the number of individual ε samples.

Approximation	Burr distribution			Lognormal distribution			log likelihood	Empirical estimates
Region/Parameter	α	c	k	Mean, Median, Mode	μ	σ	Mean, Median, Mode	Mean, Median, Mode
BoB, Nov 19, ML ($n=177$) $10 < z < 30$ m	4.9×10^{-8}	0.69	1.00	x 4.9×10^{-8} x	-16.82	2.51	1.16×10^{-6} 4.96×10^{-8} 9.1×10^{-11}	2560/ 2563 7.12×10^{-7} 5.09×10^{-8} 2.3×10^{-10}
BoB, Nov 21, ML ($n=117$) $10 < z < 45$ m	1.97×10^{-8}	1.25	0.94	1.18×10^{-7} 2.11×10^{-8} 2.62×10^{-8}	-17.66	1.47	6.28×10^{-8} 2.14×10^{-8} 2.47×10^{-9}	1856/ 1856 5.38×10^{-7} 2.27×10^{-8} 5.9×10^{-10}
BoB, Nov 23, ML ($n=187$) $15 < z < 45$ m	1.8×10^{-10}	2.89	0.17	x 6.8×10^{-10} 1.9×10^{-10}	-20.43	1.99	9.70×10^{-9} 1.34×10^{-9} 2.6×10^{-11}	3454/3427 1.87×10^{-8} 7.7×10^{-10} 5.9×10^{-11}
WDr, ML: ($n=193$) $10 < z < 30$ m	1.03×10^{-8}	1.37	1.48	1.29×10^{-8} 7.07×10^{-9} 2.22×10^{-9}	-18.82	1.11	1.24×10^{-8} 6.71×10^{-9} 1.96×10^{-9}	3334/ 3339 1.19×10^{-8} 6.76×10^{-9} 5.9×10^{-10}
GS-S, 10 am, ML: ($n = 201$), $10 < z < 59$ m	2.77×10^{-8}	1.46	1.15	5.12×10^{-8} 2.43×10^{-8} 2.12×10^{-8}	-17.55	1.14	4.58×10^{-8} 2.39×10^{-8} 6.53×10^{-9}	3213/ 3216 4.61×10^{-8} 2.14×10^{-8} 2.27×10^{-9}

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Figures 1-12.

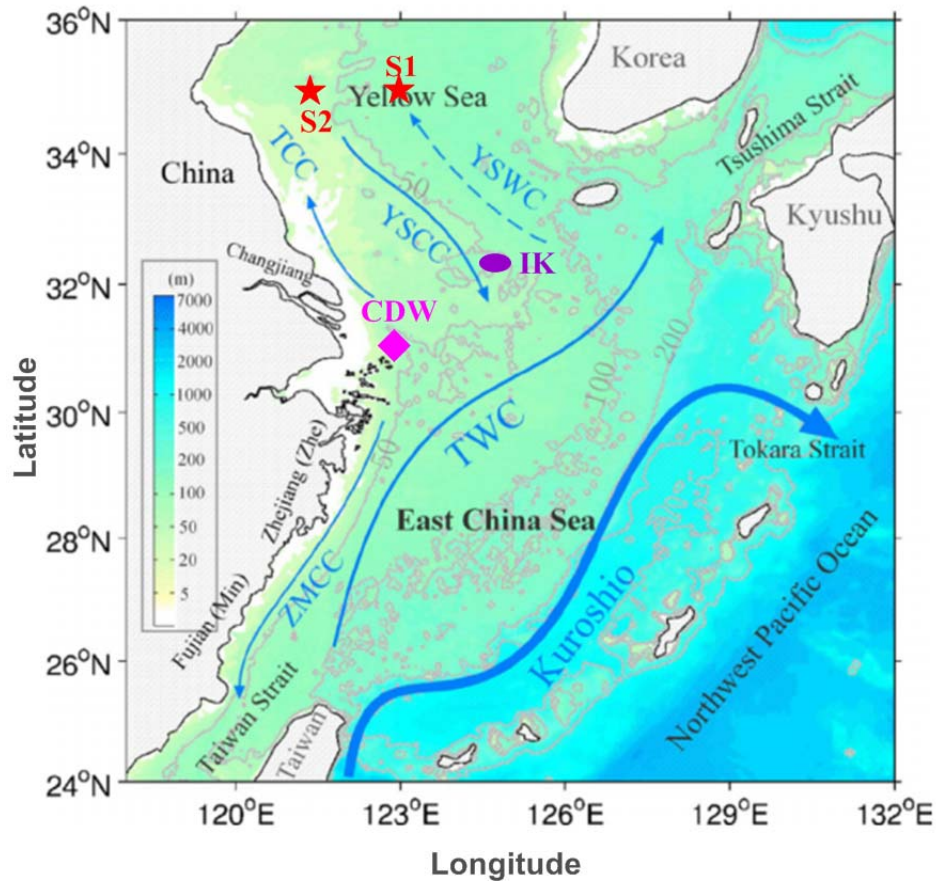


Figure. 1. Bathymetry and main circulation patterns in the East China Sea and Yellow Sea: TWC (Taiwan Warm Current), YSCC (Yellow Sea Coastal Current) and YSWC (Yellow Sea Warm Current), TCC (Tidal-induced Coastal Current) and ZMCC (Zhe-Min Coastal Current) from Zhang *et al.* [2016]. Measurements at stations **S1** and **S2** [Liu *et al.*, 2009] and at **CDW** [Lozovatsky *et al.*, 2012] were taken in 2006 using an MSS profiler; measurements at the **IK** [Lozovatsky *et al.*, 2015] were conducted in 2005 and 2006 using a Turbomap profiler.

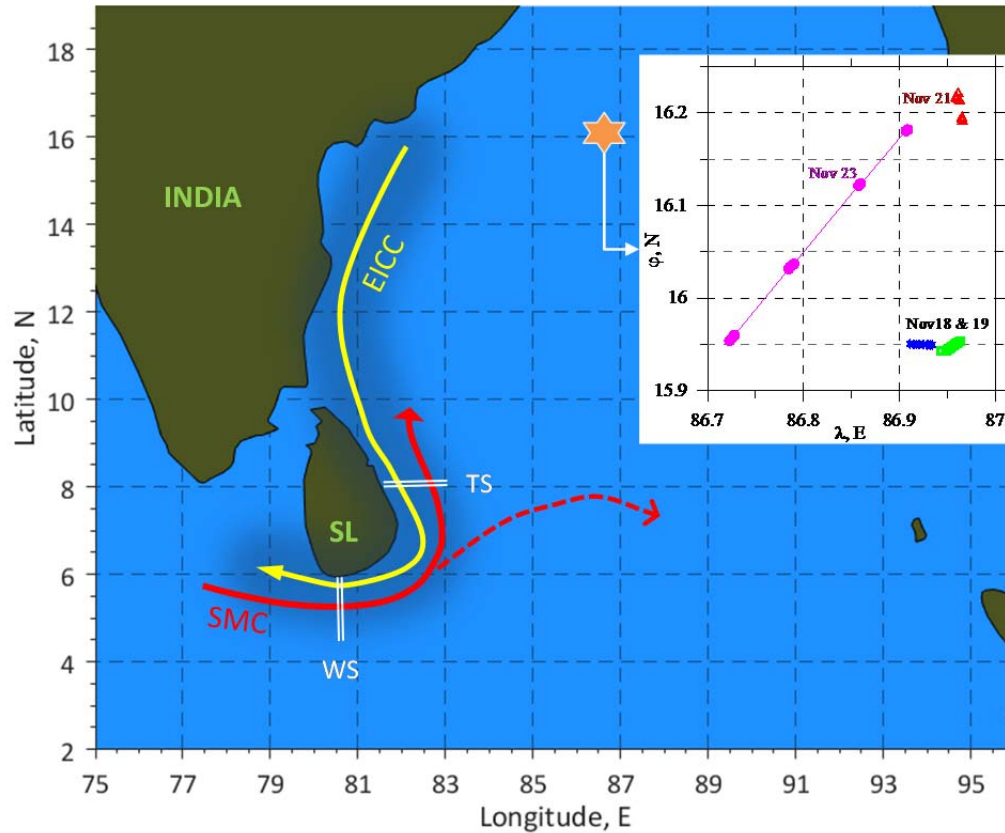


Figure 2. The VMP measurements in the northern Bay of Bengal (the orange star shows the location of measurements using R/V *Roger Reville*, November 2013) and along the Weligama (WS) and Trincomalee (TS) sections (R/V *Samuddrika*, April and September 2014, respectively). The main currents in the region are the East Indian Coastal Current (EICC) with its extension to the south of Sri Lanka as the Winter Monsoon Current (yellow arrow) and the South Monsoon Current (SMC) with the main (red arrow) and a secondary (dashed arrow) branches directed northward and eastward, respectively.

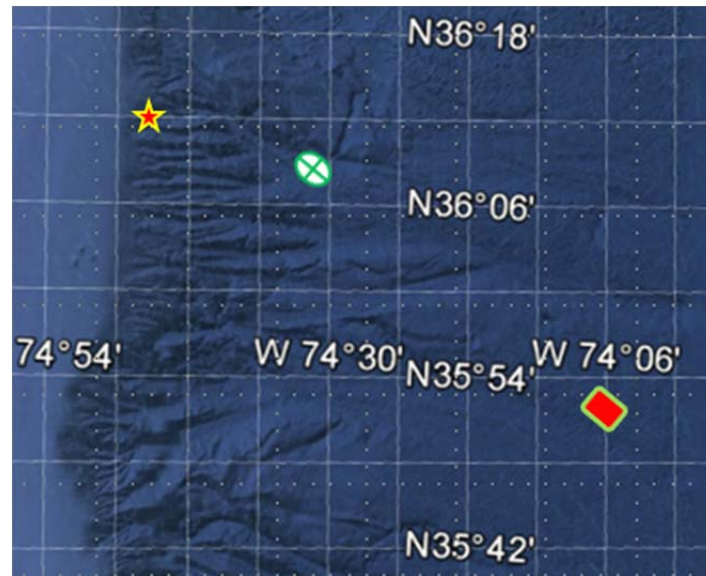


Figure 3. The Google earth topography in the region of VMP measurement off the North Carolina shelf, showing the locations of the southern GS_S station (the red rectangular: $\varphi = 35.83^\circ\text{N}$, $\lambda = 74.1^\circ\text{W}$) near the Gulf Stream core, the northern GS_N station (the white crossed ellipse: $\varphi = 36.15^\circ\text{N}$, $\lambda = 74.53^\circ\text{W}$) near the GS northern wall, and R56 station near the shelf break (the red-yellow star: $\varphi = 36.25^\circ\text{N}$, $\lambda = 74.76^\circ\text{W}$).

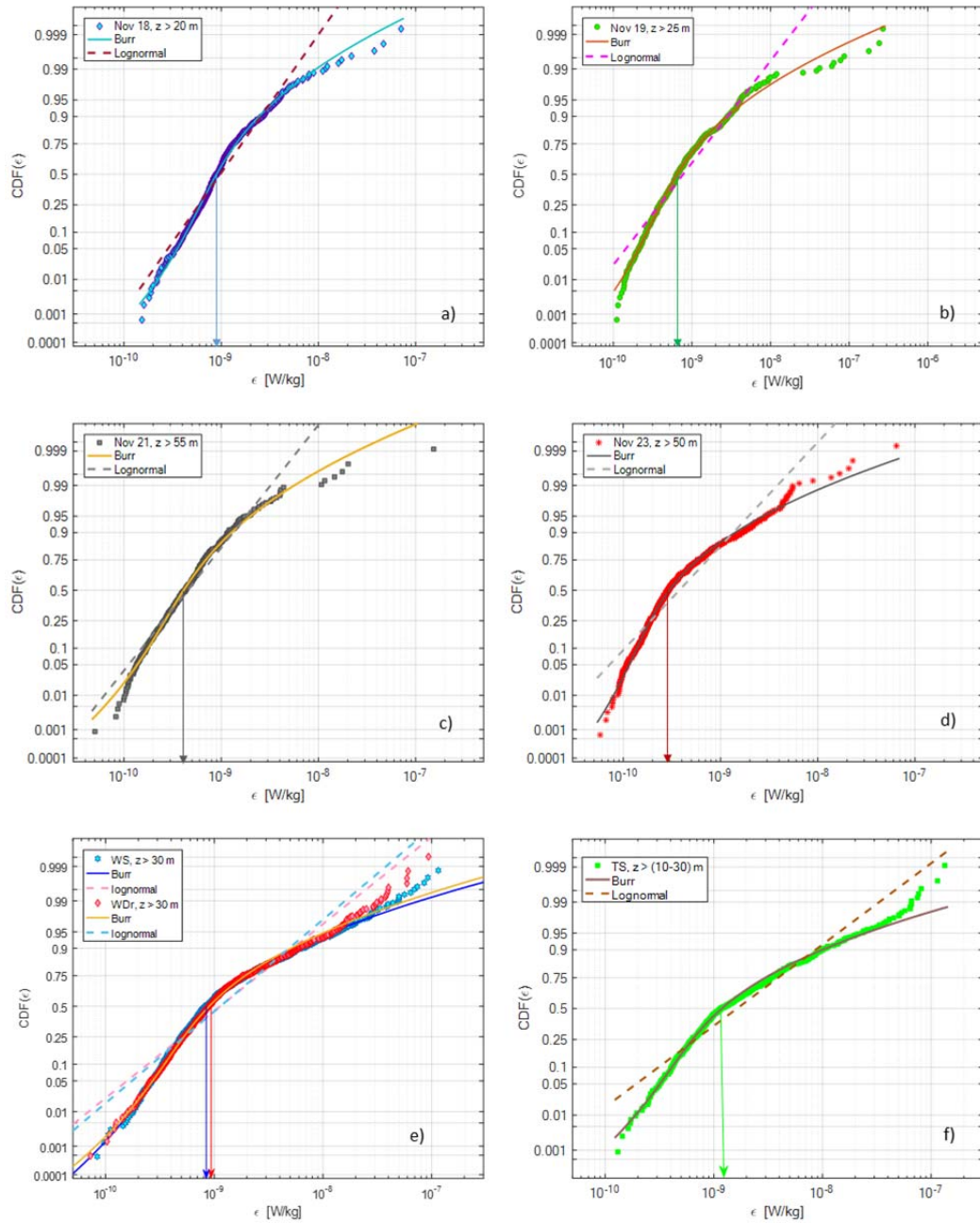


Figure. 4. The cumulative distribution functions $CDF(\epsilon)$ for the BoB and SL pycnocline dissipation rate ϵ_{pc} in the depth ranges between the pycnocline upper boundaries shown in the legends and $z \sim 130$ m. The BoB data of 2013: Nov 18 (a), Nov 19 (b), Nov 21 (c), Nov 23 (d). The SL data: Weligama section (WS), Weligama drift (WDr) (e) and Trincomalee section (TS) (f). CDF are approximated by the Burr and lognormal distributions; the less favorable approximation among the two is shown by dash lines; the arrows point to the medians. Parameters of the distributions are in Table 2.

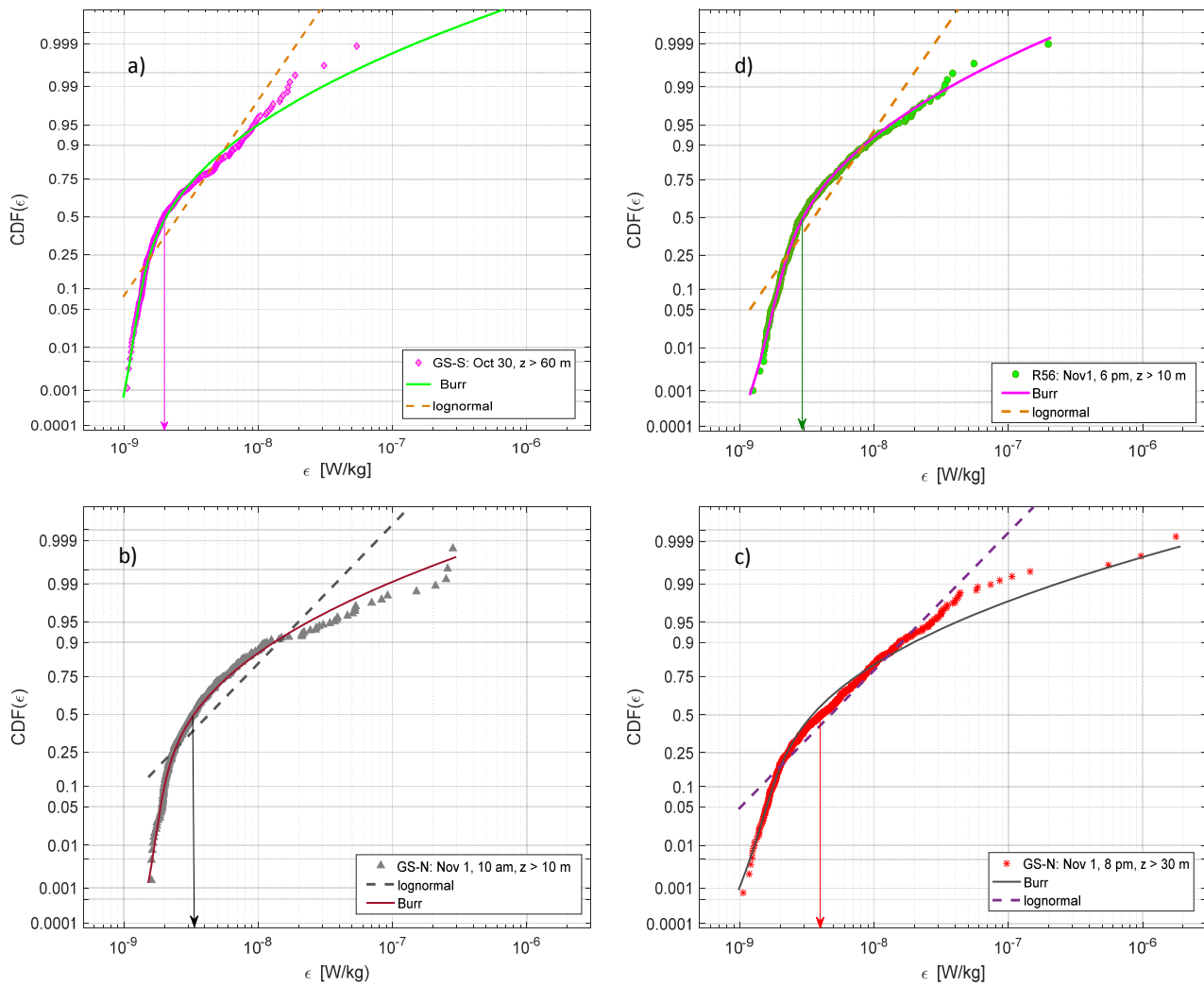


Figure 5. The cumulative distribution functions $CDF(\varepsilon)$ for the pycnocline dissipation rate ε (above $z = \sim 130$ m) at stations GS-S (a), GS-N 10 am (b) and GS-N 8-9 pm (c), and R56 (d) approximated by the Burr and lognormal distributions (the pycnocline upper boundaries are given in the legends). Parameters of the distributions are in Table 3. The arrows point to the median values. The dash lines indicate the less favorable approximation of the two.

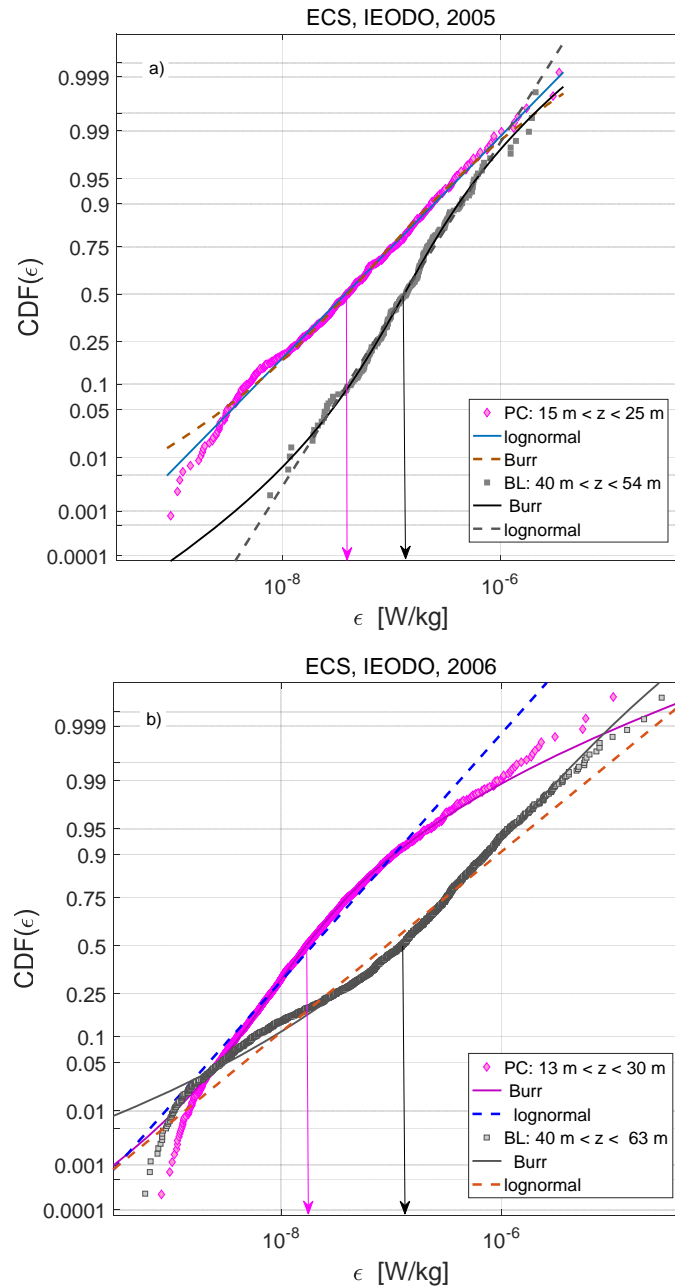


Figure 6. The cumulative distribution functions $CDF(\epsilon)$ of ϵ for the pycnocline (PC) and bottom boundary layer (BL) in the central basin of the ECS to the south of Jeju Island near IEODO station (see Figure 1) for 2005 (a) and 2006 (b) measurements. Parameters of the Burr and lognormal approximations are in Table 4. The dash lines indicate less favorable approximation of the two, arrows are the medians. The depth ranges of PC and BL are given in legends.

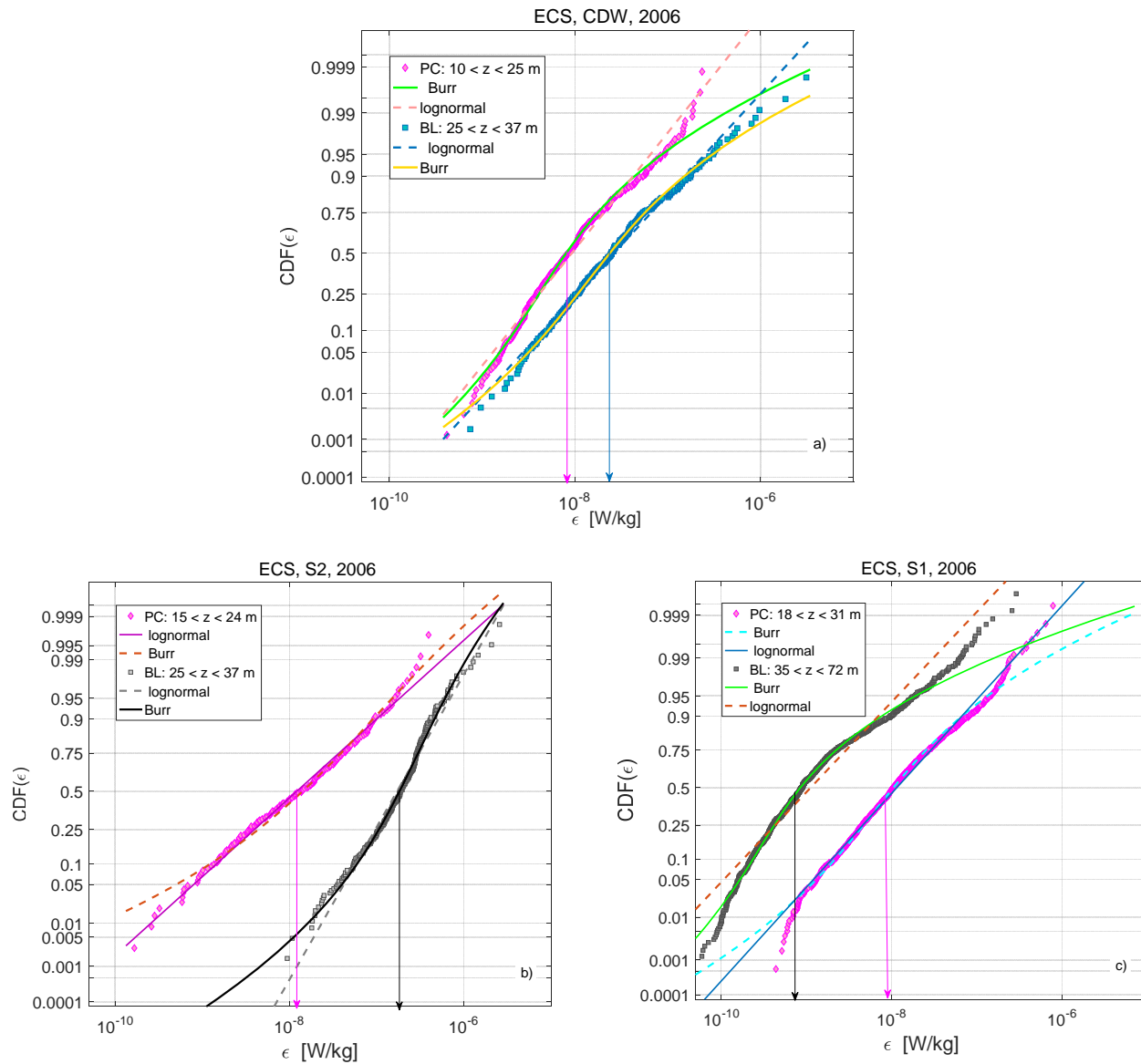


Figure 7. $CDF(\epsilon)$ for the pycnocline (PC) and bottom layer (BL) near the inner shelf break of ECS at CDW (a) and S2 (b) stations, and in the central ECS at station S1 (c) (see Figure 1). The depth ranges of PC and BL are in legends. Parameters of the Burr and lognormal approximations are in Table 4. The dash lines indicate the less favorable approximation of the two; the arrows point to the median values.

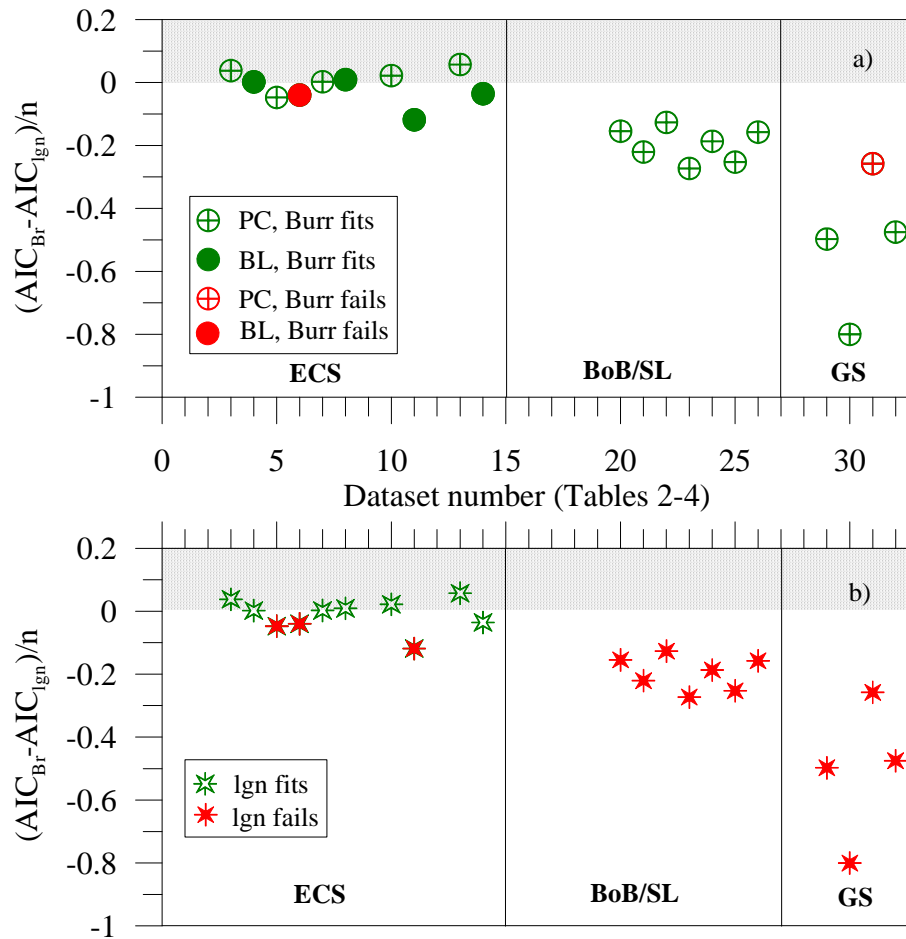


Figure 8. The normalized difference between Akaike information criteria calculated for the Burr AIC_{Br} and lognormal AIC_{lgn} models fitted to the empirical probability distributions of ε shown in Figures 4-7 and numbered in Tables 2-4. A negative $(AIC_{Br} - AIC_{lgn})/n$ favors the Burr approximation over the lognormal one and vice versa; a) – results of the Kolmogorov-Smirnov test for the Burr model for each dataset; b) - results of the same test for the lognormal model. Green symbols indicate CDFs, for which the tested approximation cannot be rejected, otherwise the red symbols (the model does not fit the data with 0.05 significance level). PC – pycnocline; BL – boundary layer

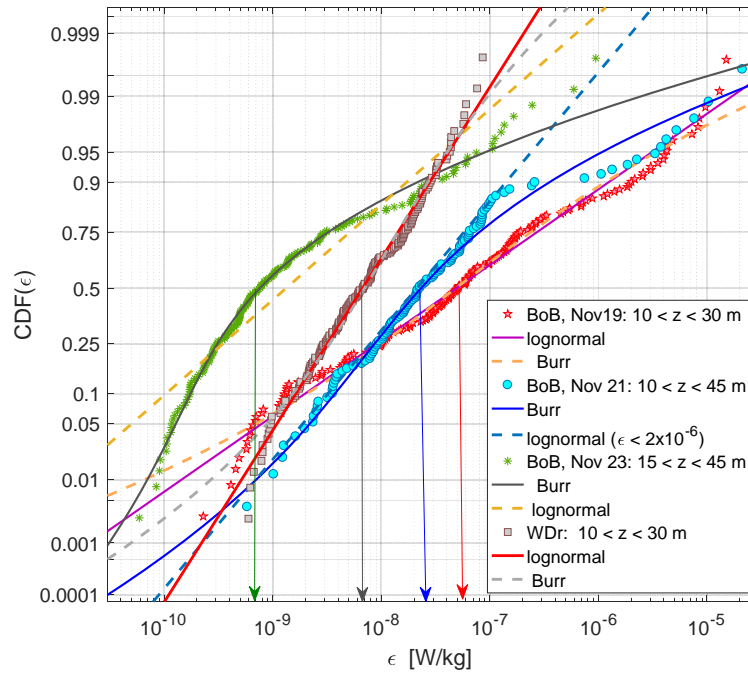


Figure 9. The cumulative distribution functions $CDF(\epsilon)$ for the dissipation rate ϵ_{ml} in the surface mixed layer (ML, the depth range is in the legend) in the BoB (Nov 19-23 stations) and along the Weligama drift (WDr). Parameters for Burr and lognormal distributions are in Table 5. The medians are shown by arrows. The less favorable approximation among the two is dashed.

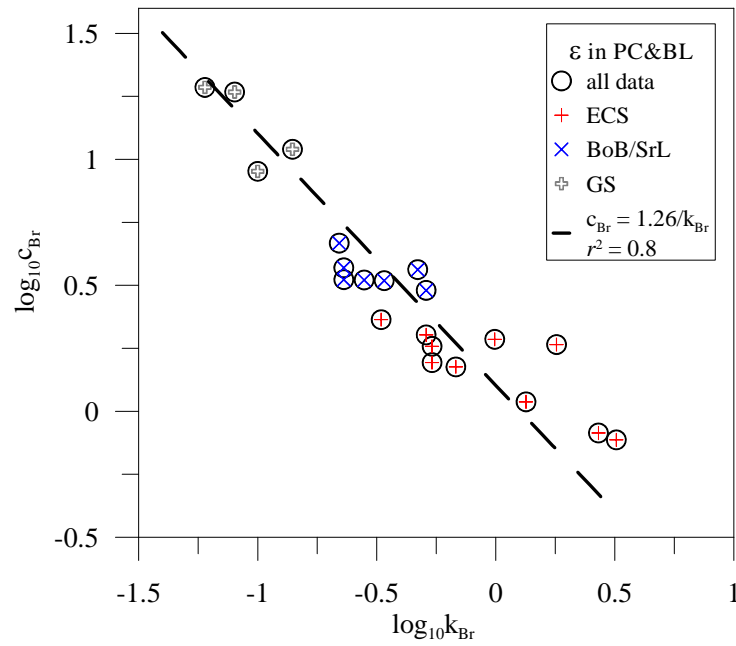


Figure 10. An inverse power approximation of the correlation between Burr shape parameters $c_{Br} \equiv c$ and $k_{Br} \equiv k$ (Eq. 3) for ECS, BoB/SL, and GS pycnoclines (PC) and the ECS bottom layer (BL).

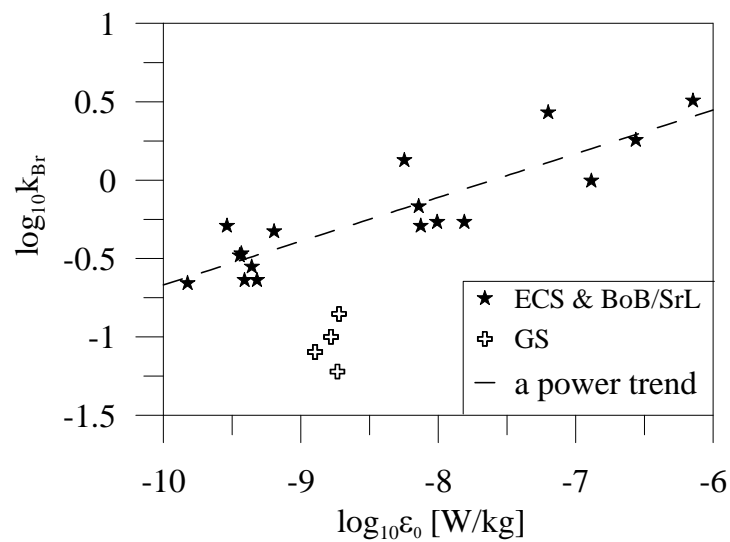


Figure 11. The shape parameter k_{Br} of the Burr approximations of $CDF(\varepsilon)$ versus its scale parameter ε_0 for ECS, BoB/SL, and GS pycnoclines and ECS bottom layer.

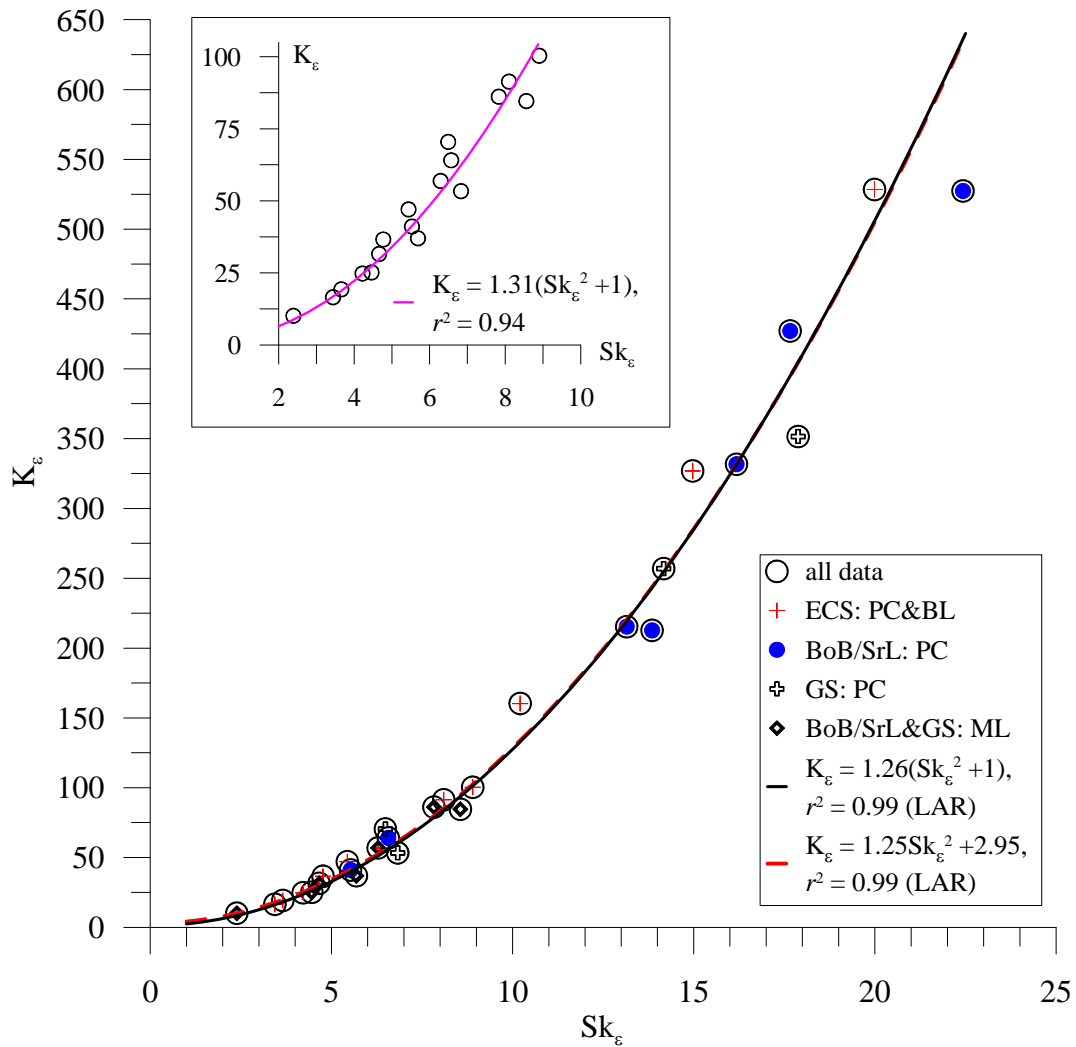


Figure 12. The kurtosis K_ϵ as a function of skewness Sk_ϵ of the dissipation rate measured in the BoB/SL and GS pycnocline and mixed layer (PC, ML) as well as in the PC and bottom layers (BL) of the ECS. Data for $Sk_\epsilon < 10$ is in insert. The quadratic approximations are in the legends (LAR is the least absolute residuals method used in Matlab curve fitting application).